

STOCK CONNECT 360

29th June 2015, Hong Kong

Exploiting opportunities within stock connect

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The Shanghai-Hong Kong Stock Connect programme has become an important channel for investment flows between Mainland China and the rest of the world. Banks, brokerages, fund managers and service providers responded enthusiastically to its introduction in November 2014, devoting vast resources to ensure its successful implementation despite a tight deadline. In order to attract more participation, regulators in Hong Kong and China have been receptive to recommendations for adjustments to the scheme, which is clearly set to develop and expand.

AsianInvestor is honoured to host a one-day event that will examine the opportunities and challenges presented by this landmark programme, bringing together the buy-side with regulators, stock exchange officials, brokers, analysts, technicians and lawyers.

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AGENDA

08:00	Registration and Refreshments
09:00	Welcome Speech: Sir C.K. Chow , Chairman, Hong Kong Exchanges and Clearing Ltd
09:15	<p>Panel I: “Stock Connect’s Significance, Performance and Potential”</p> <ul style="list-style-type: none"> • <i>Why is Stock Connect important?</i> • <i>What have been Stock Connect’s successes and failures?</i> • <i>How can the scheme be improved?</i> • <i>What is the next stage in its development?</i> <p>Moderator:</p>

**Agenda subject to change*

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	<p>Alison Tudor-Ackroyd, Editor, FinanceAsia</p> <p>Panelists: Bean Zhang, Chief Representative, Hong Kong Representative Office, Shanghai Stock Exchange Liu Fuzhong, Vice Director of Strategy and International Relations, Shenzhen Stock Exchange Zhong Yiming, Vice President, Mainland Division, Hong Kong Exchanges and Clearing</p>
10:00	<p>Panel II: “Stock Connect in Reality”</p> <ul style="list-style-type: none"> • <i>Beneficial ownership</i> • <i>Pre-delivery and settlement cycle</i> • <i>Limits on foreign ownership and execution risk</i> • <i>Special segregated account (SPSA) model</i> <p>Moderator: Ryan Wuebbels, Principal Strategic Investments, Goldman Sachs</p> <p>Panelists: Barnaby Nelson, Head, Investors and Intermediaries, Transaction Banking - Greater China and North East Asia, Standard Chartered Bank Jean-Remi Lopez, Manager of Strategic Initiatives Asia Pacific, OMGEO Andrew Gordon, Managing Director, Head of Asia, RBC Investor & Treasury Services</p>
10:45	Networking break
11:15	<p>Panel III: “View from Investors”</p> <ul style="list-style-type: none"> • <i>Why have trading volumes not met expectations?</i> • <i>What type of investor has been the main user of Stock Connect?</i> • <i>What are the advantages and disadvantages compared to (R)QFII and H-shares?</i> • <i>What are the effects of quota limits?</i> • <i>How can the rules be modified to increase investment flows?</i> <p>Moderator: Manuel Schlabbers, Fund Manager, Accudo Asian Value Arbitrage Fund, SinoPac</p> <p>Panelists: Michael Chiu, Investment Director, Equities, HSBC Global Asset Management William Fong, Investment Director, Asian Equities, Barings Asset Management Bin Shi, Managing Director, China Equities, UBS Global Asset Management</p>
12:00	<p>Panel IV: “View from Index Providers and Users”</p> <ul style="list-style-type: none"> • <i>Recent announcement by FTSE & view from users</i> • <i>Recent announcement by MSCI & view from users</i> • <i>Looking ahead, what will the future hold?</i> <p>Moderator: Kenneth Kok, Executive Director, Goldman Sachs</p> <p>Panelists: Chris Ryan, Head of Asia Pacific, MSCI Inc Jessie Pak, Managing Director, Asia, FTSE Russell Brian Roberts, ETF Product Manager, Asia, Vanguard Sunny Ng, Head of Portfolio Strategists, Asia ex-Japan, State Street Global Advisors Priscilla Luk, Senior Director, Global Research & Design, S&P Dow Jones Indices</p>
13:00	Networking Lunch
14:00	<p>Keynote Speech: “China: The case for re-rating” Kinger Lau, Chief China Equity Strategist, Goldman Sachs</p>

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14:30	<p>Panel V: “International Regulators’ Concerns about Investing via Stock Connect”</p> <ul style="list-style-type: none"> • <i>Luxembourg case-by-case UCIT participation approval.</i> • <i>Dublin non-approval of UCIT participation.</i> • <i>US mutual fund access to Stock Connect.</i> • <i>A unified approach by regulators?</i> <p>Moderator: Mike Wittich, Global Head, Strategic Initiatives, Investor Coverage, Standard Chartered Bank</p> <p>Panelists: Christine Wong, Chief Legal Counsel, Hong Kong Exchanges and Clearing Ltd Stephane Karolczuk, Head of Hong Kong Office, Arendt & Medernach Michelle Lloyd, Head of Irish Asia Desk, Registered Foreign Lawyer (Ireland), Maples and Calder Francis Edwards, Partner, Head of Derivatives and Structured Products Practice, Clifford Chance</p>
15:15	<p>Panel VI: “Short Selling, SBL & Margin Lending”</p> <ul style="list-style-type: none"> • <i>Current state of play on Stock Connect</i> • <i>Industry outreach efforts</i> • <i>What is the ideal arrangement going forward?</i> <p>Moderator: Nick Ronalds, Managing Director, Equities, Asia Securities Industry & Financial Markets Association (ASIFMA)</p> <p>Panelists: Martin Corral, Chairman, Pan Asia Securities Lending Association (PASLA) Louis Lu, Portfolio Manager, CSOP Benjamin Chandler, Managing Director, Head of APAC Equity Finance Sales, UBS (PASLA member)</p>
15:45	<p>Networking break</p>
16:00	<p>Fireside Chat: “SPSA vs. TPC - to Segregate or not to Segregate”</p> <ul style="list-style-type: none"> • <i>SPSA, what is it?</i> • <i>Current state of play</i> • <i>Why is this necessary to investors when TPC is already in place?</i> <p>Participants: Terek Mak, SVP, Head of Cash Clearing Operations, Global Clearing, Hong Kong Exchanges and Clearing Ltd Barnaby Nelson, Head, Investors and Intermediaries, Transaction Banking - Greater China and North East Asia, Standard Chartered Bank Simon Williams, Director, Head of Hong Kong Trading, Blackrock</p>
16:30	<p>Panel VII: “Future developments”</p> <ul style="list-style-type: none"> • <i>Extending China access to Shenzhen and beyond</i> • <i>Including different asset classes</i> • <i>Aligning China’s markets with global practices</i> • <i>The further internationalisation of China’s currency and capital markets</i> <p>Moderator: Steve Baron, Deputy Director, Strategic Solutions, Z-Ben Advisors</p> <p>Panelists: Liu Jian, Head of Business Development, ChinaClear Conrad Cheng, Deputy CEO of Asset Management, Bosera Asset Management Karl Paulson Egbert, Partner, Consultant in Hong Kong, Dechert</p>
17:15	<p>Close</p>

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